JC06 Rec'd PCT/PTO PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES		ATTORNEY'S DOCKET NUMBER				
DESIGNATED/ELECTED OFFICE (DO/EO/US)		03500.017993.				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		Use App ICA/TION NO (Linos) see 7 (3).R.1.5)				
INTERNATIONAL APPLICATION NO. •	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/JP2004/004346	March 26, 2004	March 31, 2003				
TITLE OF INVENTION						
FIELD EFFECT TRANSISTOR AND METHOD OF PRODUCING THE SAME						
APPLICANT(S) FOR DO/EO/US						
DAISUKE MIURA, ET AL.						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. (X) This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. X The US has been elected (Article 31).						
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. X is attached hereto (required only if not communicated by the International Bureau).						
b. has been communicated by the International Bureau.						
c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.						
b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated by	b. have been communicated by the International Bureau.					
c. have not been made; howeve	c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and will not be made.						
	ne amendments to the claims under PCT Article 19 (	35 U.S.C. 371(c)(3)).				
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C.						
- 371(c)(5)).						
Items 11 to 20 below concern other docume	• • •					
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.						
14. (X) An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.						
16.  A power of attorney and/or change of address letter.						
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20. X Other items or information: PCT Re	quest (3 pgs); Form PCT/ISA/210 (3 pgs); Forn	m PCT/ISA/220 (3 pgs); Form				
PCT/ISA/237 (4 pgs).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. AP110 DOYN 5 (135-230.121.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
		PCT/JP2004/017036		03500.102906.		
The following fees are submitted:			CALCULATIONS	PTO USE ONLY		
21. X Basic national fee			\$300.00			
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4)			\$200.00			
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$400.00			
TOTAL OF 21, 22 and 23 =			\$900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
35 - 100 =	0/50 =	0	x \$250.00	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).			\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		, <del>-</del>	
Total Claims	12-20 =	0	x \$ 50	\$0.00		
Independent Claims	3 - 3 =	0	x \$200	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360			\$360.00			
		TOTAL OF ABOVE CALCU	LATIONS =	\$900.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			\$			
SUBTOTAL =			\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(I)).			\$			
TOTAL NATIONAL FEE =			\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$40.00			
TOTAL FEES ENCLOSED =			\$1300.00			
• • • • • • • • • • • • • • • • • • • •			Amount to be refunded:	\$		
				Amount to be charged:	\$	
a. X Checks in th	e amount of \$ <u>1260.00</u> a	and \$40.00 to cover the above fees are enclose	d.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
_			1			
SEND ALL CORRESPONDENCE TO:  Signature & Science Signature		L/ CX				
Fitzpatrick, Cella, Harper & Scinto  SIGNATURE  30 Rockefeller Plaza  Leonard P		Diama				
New York, NY 10112-3800  Name		. Diana				
		29,296				
	REGISTRATION NUMBER		ON NUMBER			

U.S. Application No.: NEW

PRELIMINARY AMENDMENT Attorney Docket: 3926.158

## EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER: EV550580213US

DATE OF DEPOSIT: May 17, 2005

I HEREBY CERTIFY that the foregoing Preliminary Amendment and a stamped receipt post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated with sufficient postage and is addressed: ATTN: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account Number 16-0877.

Bonnie I. Horst